### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Iideki Tanaka

\$2 ial No.: 09/788,503

iling Date: February 21, 2001

Group Art Unit: 2823

Examiner: Nguyen, Khiem D.

TAPE CARRIER TYPE SEMICONDUCTOR DEVICE, METHOD FOR

MANUFACTURING THE SAME, AND FLEXIBLE SUBSTRATE

Honorable Commissioner of Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, English Abstracts are attached to some of the references.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter velaimed in the present application has been made, the present understanding of the contents it FC=1806 thereof the thereof the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

ment date: 11/10/2003 EEKUBAY1 09788503 00000004 500481 01/31/2003 YSHORT 01 FC:1806 180.00 CR

00N033-US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki Tanaka

Serial No.:

09/788,503

Group Art Unit:

2823

Filed:

February 21, 2001

Examiner:

Nguyen, Khiem D.

For:

TAPE CARRIER TYPE SEMICONDUCTOR DEVICE, METHOD FOR MANUFACTURING THE SAME, AND FLEXIBLE SUBSTRATE

Honorable Commissioner of Patents Alexandria, VA 22313-1450

ATTENTION: FINANCE DIVISION

Sir:

### REQUEST FOR REFUND

A charge of \$180.00 was erroneously deducted for fee code 1806, Submission of an Information Disclosure Statement, from our Deposit Account 50-0481 on January 31, 2003. It is noted that the IDS included a certification and that no Final Office Action or Notice of Allowance has been issued. Therefore, it appears that the \$180.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$180.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

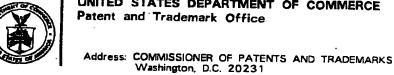
Sean M. McGinn, Esq.

Reg. No. 34,386

McGinn & Gibb, PLLC Intellectual Property Law 8321 Old Courthouse Road, Suite 200 Vienna, VA 22182-3817 (703) 761-4100 Customer No. 21254

22182-3817

#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office



MONTHLY STATEMENT OF DEPOSIT ACCOUNT

MCGINN & GIBB, PC

SEAN M MCGINN

SUITE 200

VIENNA VA

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

8321 OLD COURTHOUSE RD.

RECEIVED

1\_\_ 2 4 2003

FINA

McGINN & GIBB, P.C.

FJZ

Account No. 500481 Date 1-31-03 Page

PLEASE SEND REMITTANCES TO: Patent and Trademark Office P.O. Box 70541 Chicago, III, 60673

									Chicago, III. 80673				
	DAY		CONTROL NO.	DESCRIP (Serial, Patent,	TION TM, Order)	DOC	KET NO.	FEE CODE		RGES/ DITS		BALANCE	
1	33778888012132331	03 03 03 03 03 03 03 03 03 03 03 03 03 0	3 184 6 78 1 2 177 1 2 13 14 4	ASH-010 0	0073858 9574452 9471690 6320988 9566917 9332024 9638890 9873430 8681412 9788503	4-14 37 PM PM T36-1 PND	DEC046 16US-CIP 23882/98 1 268187 50818M/ 100162US 16-01036 224570 224570 N033-US	2202 8001 1251 6003 1814 1814 1614 1202 1806 1201 1202 1806		45.0 15.0 110.0 100.0 110.0 36.0 180.0 90.0 180.0	000000000000000000000000000000000000000	3382.00 3367.00 3257.00 3157.00 2937.00 2927.00 2891.00 2627.00 2537.00	
NA	N AMOUNT SUFFICIENT TO					7.00				TOTAL CREDITS		CLOSING BALANCE	

COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.

1070.00

0.00

2357.00

\*\*\* O.D. INDICATES OVERDRAWN

05/15/03 THU 11:12 [TX/RX NO 9543] 2003